

WTO TRADE FACILITATION AGREEMENT AND IMPLEMENTING INSTRUMENTS

(Text of the WTO TFA for easy reference)

ARTICLE	CONVENTIONS	RECOMMENDATIONS AND STANDARDS	GUIDES AND GUIDELINES
1 PUBLICATION AND AVAILABILITY OF INFORMATION			
1. Publication	WCO RKC GA Chapter 9 (9.1-9.3)	WCO Revised Arusha Declaration	ICC Customs Guidelines 28, 29, 31
	WTO Valuation Agreement Art. 12		WCO - Recommendation (2001) on the application of HS Committee Decisions - Transparency and Predictability Guidelines
2. Information available through internet	WCO RKC GA Chapter 9 (9.1-9.3)		WORLD BANK Developing a Trade Information Portal
			WCO - IT Guide for Executives - Recommendation (1999) on the Use of World Wide Web sites by Customs administrations
3. Enquiry Points	WCO RKC GA chapter 9 (9.4-9.93)		
4. Notification			
2 PRIOR PUBLICATION AND CONSULTATION			
1. Opportunity to comment on new and amended rules	WCO RKC GA Chapter 1 (1.3) RKC GA Chapter 9 (9.2)	UNECE/UNCEFACT Recommendation 4 Recommendation 33 Recommendation 41	ICC Customs Guidelines 33
			WCO - Customs-Business Partnership Guidance - Model Business Lens Checklist for Small and Medium Sized Enterprises (SMEs)
2. Consultations	WCO RKC GA Chapter 1 (1.3) RKC GA Chapter 9 (9.4-9.9) RKC GA Chapter 7	UNECE/UNCEFACT Recommendation 40	WCO - Customs-Business Partnership Guidance - Model Business Lens Checklist for Small and Medium Sized Enterprises (SMEs)

WCO
[SAFE Framework](#) of Standards Pillar 2

3 [ADVANCE RULINGS](#)

WCO
[RKC](#) GA Chapter 9 (9.9)

ICC
[Customs Guidelines](#) 43-46

WCO
 - Technical Guidelines on Advance Rulings for Classification, Origin and Valuation
 - Practical Guidelines for Valuation Control

4 [APPEAL OR REVIEW PROCEDURES](#)

WCO
[RKC](#) GA Chapter 10

ICC
[Customs Guidelines](#) 50-51

WTO
[Valuation Agreement](#) Article 11

5 OTHER MEASURES TO ENHANCE IMPARTIALITY, NON DISCRIMINATION AND TRANSPARENCY

1. [Notifications for enhanced controls or inspections](#)

WCO
[RKC](#) GA Chapter 6 (6.3-6.7)

OIE
 Terrestrial Code Section 2 Risk Management

Codex Alimentarius
 - CAC/GL 25-1997- Guidelines for the exchange of information between countries on rejection of imported food
 - CAC/GL 47-2003 Guidelines for Food Import Control System

IPPC
 - ISPM 1-Phytosanitary principles for the protection of plants and the application of phytosanitary measures in international trade
 - ISPM 20 -Guidelines for a phytosanitary import regulatory system

WCO
 - Risk Management Compendium
 - [SW Compendium](#)

2. [Detention](#)

WCO
[RKC](#) GA Chapter 3 (3.36)
[RKC](#) GA Chapter 6 (6.1)
[RKC](#) Specific Annex H

Codex Alimentarius
 CAC/GL 83-2013 - Principles for the use of sampling and testing in international food trade

WCO
 - Risk Management Compendium
 - Compendium of Customs Operational Practices for Enforcement and Seizures (COPES)

3. [Test Procedure](#)

WCO
[RKC](#) GA Chapter 3 (3.38)

Codex Alimentarius
 - CAC/GL 50-2004 - General Guidelines on sampling
 - CAC/GL 83-2013 - Principles for the use of sampling and testing in international food trade
 - CAC/GL 70-2009 - Guidelines for Settling Disputes on Analytical (Test) Results

WCO
 Customs Laboratory Guide

6 DISCIPLINES ON FEES AND CHARGES IMPOSED ON OR IN CONNECTION WITH IMPORTATION AND EXPORTATION

1. General Disciplines on Fees and Charges Imposed on or in Connection with Importation and Exportation.	WCO RKC GA Chapter 3 (3.32) RKC GA Chapter 9 (9.7) RKC GA Specific Annex A	WCO Revised Arusha Declaration
2. Specific Disciplines on Fees and Charges Imposed on or in Connection with Importation and Exportation	WCO RKC GA Chapter 3 (3.2, 3.45) RKC GA Chapter 9 (9.7) RKC Specific Annex A	WCO Revised Arusha Declaration
3. Penalty disciplines	WCO RKC GA Chapter 3 (3.39, 3.43) RKC Specific Annex H - Chapter 1	WCO Voluntary Compliance Framework

7 RELEASE AND CLEARANCE OF GOODS

1. Pre-arrival processing	WCO RKC GA Chapter 3 (3.25)	WCO SAFE Framework of Standards	ICC Customs Guidelines 9 WCO Immediate Release guidelines
2. Electronic payment	WCO RKC GA Chapter 7 (7.1)		ICC Customs Guidelines 32
3. Separation of release from final determination and payment of customs duties, taxes, fees and charges	WCO RKC GA Chapter 3 (3.13-3.14,3.17,3.40) RKC GA Chapter 4 (4.9) RKC GA Chapter 5 (5.1-5.7)		ICC Customs Guidelines 15 WCO Immediate Release guidelines
4. Risk Management	WCO RKC GA Chapter 6 (6.3-6.5)	WCO SAFE Framework of Standards	ICC Customs Guidelines 14 WCO Risk Management Compendium
5. Post clearance audit	WCO RKC GA Chapter 6 (6.6)	WCO SAFE Framework of Standards	IASB International Financial Reporting Standards (IFRS) ICC Customs Guidelines 19 WCO - Post-Clearance Audit Guidelines

			<ul style="list-style-type: none"> - Diagnostic Tool on Post Clearance Audit (PCA) and Infrastructure - Implementation Guidance on Post-Clearance Audit - Risk Management Compendium
6. Establishment and publication of average release times			<p>WCO</p> <ul style="list-style-type: none"> - TRS Guide (Guide to Measure the Time Required for the Release of Goods) - Customs International Benchmarking Manual
7. Trade Facilitation Measures for Authorized Operators	<p>WCO</p> <p>RKC GA Chapter 3 (3.32)</p>	<p>WCO</p> <p>SAFE Framework of Standards</p>	<p>ICC</p> <p>Customs Guidelines 19</p> <p>WCO</p> <ul style="list-style-type: none"> - AEO Implementation Guidance - AEO Compendium - Model AEO Appeal Procedures - AEO Benefits: Contribution from the WCO Private Sector Consultative Group - The Authorized Economic Operator and the Small and Medium Enterprise (FAQ) - Mutual Recognition Arrangement/Agreement Guidelines - AEO Template
8. Expedited shipments			<p>WCO</p> <p>Immediate Release guidelines</p>
9. Perishable Goods	<p>WCO</p> <p>RKC GA Chapter 3 (3.34)</p>	<p>Codex Alimentarius</p> <p>CAC/GL 83-2013 - Principles for the use of sampling and testing in international food trade</p> <p>IPPC</p> <p>ISPM 20 -Guidelines for a phytosanitary import regulatory system</p>	
8 BORDER AGENCY COOPERATION			
	<p>UNECE</p> <p>Int'l Convention on Harmonization of Frontier Controls</p> <p>WCO</p> <p>RKC GA Chapter 3 (3.35)</p>	<p>WCO</p> <ul style="list-style-type: none"> - SAFE Framework of Standards Pillar 3 - Data Model 	
9 MOVEMENT OF GOODS UNDER CUSTOMS CONTROL INTENDED FOR IMPORT			
	<p>WCO</p> <p>RKC Specific Annex E - Chapter 1</p>		<p>WCO</p> <p>Transit Handbook</p>
10 FORMALITIES CONNECTED WITH IMPORTATION AND EXPORTATION			

<p>1. Formalities and Documentation Requirements</p>	<p>WCO RKC GA Chapter 3 (3.11-3.19)</p> <p>OIE Terrestrial Code Chapter 5.1. and 5.2.</p>	<p>Codex Alimentarius CAC/GL 38-2001 Guidelines for design, production, issuance and use of generic official certificates</p> <p>IPPC ISPM 12 Guidelines for phytosanitary certificates</p> <p>UNECE/UNCEFACT Recommendation 1 Recommendation 18 Recommendation 42</p>	<p>UNNeXT - BPA Guide - Guide for the design of aligned documents</p>
<p>2. Acceptance of copies</p>	<p>WCO RKC GA Chapter 3 (3.11-3.19)</p> <p>UNCITRAL Convention on electronic communication</p>		<p>WCO - Recommendation (2012) on the Dematerialization of Supporting Documents - IT Guide for Executives</p>
<p>3. Use of international standards</p>	<p>WCO RKC GA Chapter 3 (3.11) RKC GA Chapter 7 (7.2)</p>	<p>WCO Data Model</p>	<p>WCO - IT Guide for Executives - Recommendations</p>
<p>4. Single Window</p>	<p>WCO RKC GA Chapter 3</p>	<p>UNECE/UNCEFACT Recommendation 25 Recommendation 33 Recommendation 34 Recommendation 35 Recommendation 36</p> <p>WCO - SAFE Framework of Standards section 5 - Data Model</p>	<p>UNECE Implementing UN/CEFACT e-Business standards in Agricultural Trade</p> <p>UNNeXT - Single Window Project Implementation Guide - Data Harmonisation and Modelling Guide</p> <p>WCO - Single Window Supplement - Coordinated Border Management Compendium - IT Guide for Executives</p>
<p>5. Pre-shipment Inspection</p>		<p>UNECE/UNCEFACT Recommendation 27</p>	<p>WCO - Practical Guidelines for Valuation Control - Guide on termination of inspection contract</p>
<p>6. Use of Customs Brokers</p>	<p>WCO RKC GA Chapter 3 (3.6-3.7) RKC GA Chapter 8 (8.1-8.7)</p>		<p>ICC Customs Guidelines 12</p> <p>WCO Study Report on Customs Brokers</p>
<p>7. Common Border Procedures and Uniform Document Requirements</p>	<p>WCO RKC GA Chapter 3 (3.7, 3.20)</p>		

8. Rejected goods	<p>WCO</p> <p>RKC GA Chapter 3, RKC GA Chapter 4 (4.19) RKC Specific Annex Chapter 1</p>
9. Temporary admission of goods/ inward and outward processing	<p>WCO</p> <ul style="list-style-type: none"> - Istanbul Convention - RKC Specific Annex F - RKC Specific Annex G Chapter 1 - ATA Convention

11 [FREEDOM OF TRANSIT](#)

	<p>TIR Convention</p> <p>IPPC ISPM 25 Consignments in transit</p> <p>WCO Transit Handbook</p>
	<p>UNECE Int'l Convention on Harmonization of Frontier Controls</p>
	<p>WCO</p> <p>RKC Specific Annex E Chapter 1 RKC Specific Annex E Chapter 2</p>

12 [CUSTOM COOPERATION](#)

1. Measures Promoting Compliance and Cooperation		WCO Voluntary Compliance Framework
2-12. C2C exchange of information for the purposes of verifying goods declarations	<p>UNECE Int'l Convention on Harmonization of Frontier Controls</p> <p>WCO</p> <ul style="list-style-type: none"> - General Annex Chapter 6 - 6.7 - Johannesburg Convention - Nairobi Convention 	WCO Model Bilateral Agreement

23 INSTITUTIONAL ARRANGEMENTS

2. National Committee on Trade Facilitation	<p>UNECE/UNCEFACT Recommendation 4 on Trade Facilitation Bodies Recommendation 40 on Consultation Approaches: Best Practices in Trade and Government consultation on Trade Facilitation Matters</p>	<p>ITC, UNECE, UNCTAD National-Trade-Facilitation-Committees---Moving-towards-implementation</p>
---	---	---
